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8 Attorneys for Plaintiff

9 UNITED STATES DISTRICT COURT

10 NORTHERN DISTRICT OF CALIFORNIA

11 OAKLAND DIVISION

12 UNITED STATES OF AMERICA,) CASE NO. CR 14-0488 YGR
13 Plaintiff,)
14 v.) APPLICATION OF THE UNITED STATES FOR A
15 CHARLES WANG,) PRELIMINARY ORDER OF FORFEITURE
16 Defendant.)
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18 The United States of America, by and through the undersigned Assistant United States
19 Attorney, respectfully submits this Application of the United States for Issuance of a Preliminary Order
20 of Forfeiture in the above-captioned case. In support thereof, the United States sets forth the following:

21 On May 26, 2015, defendant Charles Wang was charged with a Superseding Information with
22 violation of 18 U.S.C. § 371 – Conspiracy to Commit Structuring and 31 U.S.C. §§ 5324(a)(3) and
23 5324(d)(2) – Structuring Transactions to Evade Reporting Requirements. The Superseding Information
24 also sought criminal forfeiture pursuant to 31 U.S.C. § 5317(c) of the following property including but
25 not limited to:

26 • All property, real or personal, involved in the offense, and any property traceable thereto,
27 including, but not limited to \$731,405.33 in US Currency the defendants structured and
attempted to structure

1 On May 27, 2015, defendant Charles Wang, pled guilty to Counts One and Two of the captioned
2 Superseding Information, and agreed to pay a forfeiture money judgment in the amount of \$731,405.33
3 ("Forfeiture Money Judgment"). The defendant admitted that the Forfeiture Money Judgment
4 represents the funds that were involved in the structuring violations and, thus, is forfeitable to the
5 United States pursuant to 31 U.S.C. § 5317(c) and the procedures outlined in Rule 32.2 of the Federal
6 Rules and Criminal Procedures.

7 The defendant further agreed that the court enter a personal money judgment in the amount of
8 \$731,405.33.

9 Rule 32.2 (b)(1) of the Federal Rules of Criminal Procedure provides that as soon as practicable
10 after entering a guilty verdict or accepting a plea of guilty or nolo contendere on any count in an
11 indictment or information with regard to which criminal forfeiture is sought, the court shall determine
12 what property is subject to forfeiture under the applicable statute. If forfeiture of specific property is
13 sought, the court shall determine whether the government has established the requisite nexus between
14 the property and the offense. If the government seeks a personal money judgment against the defendant,
15 the court shall determine the amount of money that the defendant will be ordered to pay. The court's
16 determination may be based on evidence already in the record, including any written plea agreement or,
17 if the forfeiture is contested, on evidence or information presented by the parties at a hearing after the
18 verdict or finding of guilt.

19 Pursuant to Rule 32.2(b)(2), if the court finds that property is subject to forfeiture, it shall
20 promptly enter a preliminary order of forfeiture setting forth the amount of any money judgment or
21 directing the forfeiture of specific property without regard to any third party's interest in all or part of it.
22 Determining whether a third party has such an interest shall be deferred until any third party files a claim
23 in an ancillary proceeding under Rule 32.2(c).

24 Rule 32.2(b)(3) further provides that the entry of a preliminary order of forfeiture authorizes the
25 Attorney General (or a designee) to seize the specific property subject to forfeiture; to conduct any
26 discovery the court considers proper in identifying, locating, or disposing of the property; and to
27 commence proceedings that comply with any statutes governing third-party rights. At sentencing - or at
28

1 any time before sentencing if the defendant consents - the preliminary order of forfeiture becomes final
2 as to the defendant and shall be made part of the sentence and included in the judgment. The court may
3 include in the order of forfeiture conditions reasonably necessary to preserve the property's value
4 pending any appeal.

5 Based on the defendant's admissions in his plea agreement, the United States established the
6 requisite nexus between the Forfeiture Money Judgment and the offense to which the defendant pled
7 guilty. Specifically, the United States established that the Forfeiture Money Judgment represents the
8 funds that were involved in the structuring violations charged in Counts One and Two of the
9 Superseding Information. Accordingly, the Forfeiture Money Judgment is subject to forfeiture to the
10 United States pursuant to 31 U.S.C. § 5317(c) and the procedures outlined in Rule 32.2 of the Federal
11 Rules and Criminal Procedures .

12 WHEREFORE, the United States respectfully requests that this Court enter a Preliminary Order
13 of Forfeiture which provides for the following:

14 a. entry of a personal money judgment in the amount of \$731,405.33;
15 b. directs the United States, through its appropriate agency, to seize the forfeited property
16 forthwith;
17 c. authorizes the government to conduct discovery in order to identify, locate or dispose of
18 property subject to forfeiture in accordance with Rule 32.2(b)(3) of the Federal Rules of Criminal
19 Procedure;
20 d. the Court to retain jurisdiction to enforce the Preliminary Order of Forfeiture, and to amend
21 it as necessary, pursuant to Federal Rule of Criminal Procedure 32.2(e).

22 DATED: 07/17/15

Respectfully submitted,

23 MELINDA HAAG
24 United States Attorney

25 /S/
26 DAVID B. COUNTRYMAN
27 Assistant United States Attorney
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